IN RE APPLICATION OF:
Inventor: Stuart E. Linsky, et al.

Serial Number: 10/005,063

Filed: December 4, 2001

For: DECISION DIRECTED PHASE LOCKED LOOPS (DD-PLL) WITH MULTIPLE INITIAL PHASE AND/OR FREQUENCY ESTIMATES IN DIGITAL COMMUNICATION SYSTEMS

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### REQUEST FOR CORRECTION OF FILING RECEIPT

Honorable Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir/Madam:

Applicant respectfully requests correction of the Filing Receipt for the above-identified application (see attached Filing Receipt). Please correct APPLICANT'S ADDRESS as follows:

Christopher W. Walker, Stevenson Ranch, CA

Respectfully Submitted,

Dated: February 12, 2002

Noel F. Heal

Reg. No. 26,074

TRW Inc.

Intellectual Asset Management One Space Park, E2/6051 Redondo Beach, CA 90278

Tele: (310) 812-4910 Fax: (310) 812-2687

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

TOT CLAIMS

www.uspto.gov IND CLAIMS

APPLICATION NUMBER 10/005,063

FILING DATE 12/04/2001

GRP ART UNIT

2631

FIL FEE REC'D ATTY.DOCKET.NO 866

22-0148

DRAWINGS 15

27

**CONFIRMATION NO. 9562** 

30050 PATENT COUNSEL, TRW INC. S & E LAW DEPT. ONE SPACE PARK, BLDG. E2/6051 REDONDO BEACH, CA 90278

FILING RECEIPT

Date Mailed: 01/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Stuart T. Linsky, Rancho Palos Verdes, CA; Scott A. Cooper, Seattle, WA: -Christopher W. Walker, Stevens Ranch, CA; Harvey L. Berger, Redondo Beach, CA; Ali R. Golshan, Hawthrone, CA;

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Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/24/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Decision directed phase locked loops (DD-PLL) with multiple initial phase and/or frequency estimates in digital communication systems

**Preliminary Class** 

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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Approved for use through 10/31/2002. OMB 0651-0031
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. Application Number 10/005,063 TRANSMITTAL **Filing Date** December 4, 2001 **FORM First Named Inventor** Stuart T. Linsky (to be used for all correspondence after initial filing) **Group Art Unit** 2631 **Examiner Name** Not Assigned 13 Attorney Docket Number 22-0148 Total Number of Pages in This Submission ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply ( Appeal Notice, Brief, Reply Brief) Petition After Final **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Address Extension of Time Request identify below): Terminal Disclaimer Completion of Filing Requirements in Duplicate **Express Abandonment Request** Request for Refund Declaration Copy of Notice to File Missing Parts Information Disclosure Statement Request for Correction of Filing Receipt CD, Number of CD(s) Copy of Filing Receipt Certified Copy of Priority Document(s) Remarks RECEIVED Response to Missing Parts/ X Incomplete Application MAR 1 2 2002 Response to Missing Parts under 37 CFR 1.52 or 1.53 Technology Conter 2600 SIGNATURE OF APPLICANT, ATTORNEY, OR AGE Firm Individual name Heal: Reg. No. 26,074 Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Marilyn L. Beaumont

Signature

Date

1/4/1/1

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PATENT

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFIGE

In re application of: Linsky, Stuart T.

Application No.: 10/005,063

Group No.: 2631

Filed: December 4, 2001

Examiner: Not Assigned

For: DECISION DIRECTED PHASE

LOCKED LOOPS (DD-PLL) WITH MULTIPLE

INITIAL PHASE AND/OR FREQUENCY ESTIMATES

IN DIGITAL COMMUNICATION SYSTEMS

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**Box Missing Part Assistant Commissioner for Patents Washington, D.C. 20231** 

### COMPLETION OF FILING REQUIREMENTS -- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed January 25, 2002.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

#### **DECLARATION OR OATH**

II. The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

#### CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

#### **MAILING**

[X] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant
 Commissioner for Patents, Washington, D.C. 20231.

<b>FACSIMILE</b>	
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[ ] transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_

Signature

Date: February 12, 2002

Marilyn L. Beaumont

(type or print name of person certifying)

(Completion of Filing Requirements--Nonprovisional Application--page 1 of 2)

#### **COMPLETION FEES**

#### III. Surcharge Fees

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e)) - \$130.00

Total Completion Fees

\$130.00

#### **EXTENSION OF TIME**

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### TOTAL FEE DUE

V. The total fee due is:

Completion fees	\$130.00	
Extension fee (if any)	\$0.00	
Total Fee Due	\$130.00	

#### **PAYMENT OF FEES**

VI. Charge Account No. 20-1515 in the amount of 130.00.

A duplicate of this request is attached.

Please charge Account No. 20-1515 for any fees that may be due by this paper.

Date: February 12, 2002

Noel F. Heal

Registration No. 26,074

TRW INC.

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310-812-4910